



02902.000001

PATENT APPLICATION

(5)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|-----------------------------|---|-----------------------|
| In re Application of: |) | |
| | : | Examiner: M. Luby |
| NORIYUKI ODA ET AL. |) | |
| | : | Group Art Unit: 3611 |
| Application No.: 09/787,654 |) | |
| | : | |
| Filed: March 21, 2001 |) | |
| | : | |
| For: HUMAN-POWERED DRIVING |) | Confirmation No. 5966 |
| MECHANISM | : | |
| (As Amended) |) | October 8, 2002 |

Commissioner of Patents
Box Issue Fee
Washington, D.C. 20231

Match and Return

LETTER ACCOMPANYING ISSUE FEE TRANSMITTAL AND PAYMENT

Sir:

Transmitted herewith is an Issue Fee Transmittal and a check in the amount of \$655.00, in payment of both the fee required for an advanced order of patent copies and the issue fee payment required for a small entity. Although the Notice of Allowance issued on August 5, 2002 and the Issue Fee Transmittal indicate "NO" under the "SMALL ENTITY" status category listed therein, Applicant respectfully submits that he *does* qualify as an independent inventor for purposes of paying small entity fees, as evidenced at least by the attached copy of the Statement Claiming Small Entity Status which was filed in the U.S. Patent and Trademark Office on October 2, 2002. A copy of the stamped return

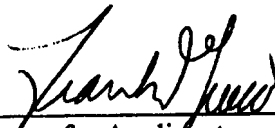
postcard, evidencing the filing of the Statement on October 2, 2002, is enclosed, as is a copy of a Submission Of Statement Claiming Small Entity Status And Request For Corrected Notice Of Allowance filed with the Statement. Accordingly, it is believed that the amount of the issue fee payment made by the attached check is appropriate.

However, if the U.S. Patent and Trademark Office deems that the amount of the attached check is an insufficient payment for the issue fee, please charge any deficiency in the fee to Deposit Account 06-1205.

Also, the Examiner is sincerely thanked for the telephone message he placed on the undersigned representative's voicemail on October 7, 2002, indicating that the Examiner tentatively would be amenable to entering the Amendment After Allowance filed on September 9, 2002. Applicant respectfully requests issuance of a written confirmation of the entry of that paper, after the Examiner has entered same.

Applicant's undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,



Attorney for Applicant

Registration No. 42,476

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COURTESY COPY

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| For: HUMAN-POWERED DRIVING |) | Confirmation No. 5966 |
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Commissioner of Patents
Box Issue Fee
Washington, D.C. 20231

**SUBMISSION OF STATEMENT CLAIMING SMALL ENTITY STATUS
AND REQUEST FOR CORRECTED NOTICE OF ALLOWANCE**

Sir:

Applicant has received a Notice of Allowance dated August 5, 2002, from the U.S. Patent and Trademark Office (USPTO) in the above-identified application. The Examiner is sincerely thanked for allowing the application. Applicant notes, however, that the Notice of Allowance incorrectly indicates "NO" under the "SMALL ENTITY" status category listed in the Notice of Allowance. Applicant respectfully submits that he *does* qualify as an independent inventor for purposes of paying reduced, small entity fees, as evidenced by the attached Statement Claiming Small Entity Status, executed by Applicant, and the fact that reduced fees, including the basic national filing fee, have been paid

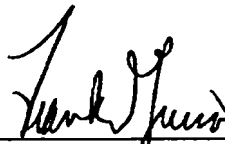
throughout the prosecution of this application (see, e.g., 37 C.F.R. § 1.27(c)(3)), owing to Applicant's small entity status. Also, the subject matter of the present application has not, to date, been assigned to any entity which does not qualify as a small entity.

Accordingly, in view of the foregoing facts, and Applicant's status as a small entity, issuance of a corrected Notice of Allowance, indicating "Yes" under the "SMALL ENTITY" status category, is respectfully requested to clarify for the record that Applicant qualifies as a small entity.

It is believed that no fee is required in association with this Submission. However, if a fee is deemed required, please charge any such fee to Deposit Account 06-1205.

Applicant's undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,



Attorney for Applicant

Registration No. 42,476

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